

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Charles D.Y. Sia, et al.
Appl'n. No. : 09/055,744
Filed : April 7, 1998
Title : HIV-SPECIFIC CYTOTOXIC T-CELL RESPONSES
Grp./A.U. : 1648
Examiner : Robert D. Budens
Docket No. : 1038-746 MIS:jb
Date : June 19, 2002

#07
EXT. 8mas.
#1 Appeal
6/29/02

fees ok - see
mtt. Sheet

BY FACSIMILE

The Commissioner of Patents
and Trademarks,
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Washington, D.C. 20231,
U.S.A.

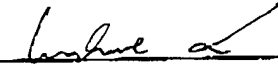
NOTICE OF APPEAL AND REQUEST FOR EXTENSION OF TIME

Sir:

Petition is hereby made under the provisions of 37 CFR 1.136(a) for an extension of three months of the period for response to the outstanding Office Action on this case. Our deposit account order form is enclosed in respect of the prescribed fee.

Applicant hereby appeals to the Board of Appeals from the decision of the Examiner finally rejecting claims 1, 4 to 11. Our deposit account order form includes the amount of the prescribed fees.

Respectfully submitted,


Michael I. Stewart
Reg. No. 24,973

Toronto, Ontario, Canada,
(416) 595-1155
FAX No. (416) 595-1163

Sim, Hughes, Ashton & McKay LLP
Barristers & Solicitors

Sim & McBurney
Patent & Trade Mark Agents

6th Floor, 330 University Avenue
Toronto, Ontario, Canada M5G 1R7

Tel. (416) 595-1155 • Fax (416) 595-1163

FAX RECEIVED

JUN 20 2002

GROUP 1600

DATE: June 19, 2002

Application No.: 09/055,744

Our Ref: 1038-746 MIS:jb

TO:	FAX #	PHONE #
Examiner: Robert D. Budens, Art Unit 1648 US Patent Office	(703) 308-4242	(703) 308-2960

Total Number of Pages (Including This Page): 6

FROM: Michael I. Stewart / 239

COMMENTS:

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PTO/SB/21 (09-00)

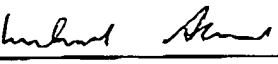
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application	09/055,744	
	Filing Date	April 7, 1998	
	First Named	Charles D.Y. Sia	
	Group Art Unit	1648	
	Examiner Name	Robert D. Budens	
Total Number of Pages in This Submission	4	Attorney Docket Number	1038-746 MIS

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Changes of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Deposit Account Order Form
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael I. Stewart (Reg. No. 24,973)
Signature	
Date	June 19, 2002

CERTIFICATE OF MAILING

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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)Applicant(s) **Charles D.Y. Sia**

Docket No.

1038-746 MIS

Serial No.

09/055,744

Filing Date

April 7, 1998

Examiner

Robert D. Budens

Group Art Unit

1648

Invention: **HIV-SPECIFIC CYTOTOXIC T-CELL RESPONSES****FAX RECEIVED**

JUN 20 2002

OFFICIAL**GROUP 1600**# 27
N/A Appeal
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Michael I. Stewart

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FEE TRANSMITTAL

for FY 2002

Complete if Known

Application Number	09/055,744
Filing Date	April 7, 1998
First Named Inventor	Charles D.Y. .
Examiner Name	Robert D. Bud
Group Art Unit	1648
Attorney Docket No.	1038-746 MIS

FEE CALCULATION (continued)

- ☐ Check ☐ Credit card ☐ Money Order ☒ Other

3. ADDITIONAL FEES

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	520.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	320.00
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
178	740	278	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	\$840.00
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or number previously paid, if greater: For Reissues, see above

JUN 19, 2002

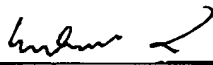
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ACCOUNT NUMBER 192253	YOUR ORDER NUMBER 1038-746 MIS																		
DESCRIPTION OF ARTICLES OR SERVICE TO BE FURNISHED																			
Application No. 09/055,744																			
Applicant(s): Charles D.Y. Sia,, et al.																			
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